

RESOLUTION NO. 2007-102

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ELK GROVE APPROVING THE ADOPTION OF THE 2007/08 ACTION PLAN AND AUTHORIZING THE CITY MANAGER TO EXECUTE AND FILE ALL DOCUMENTS REQUIRED BY THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT TO RECEIVE COMMUNITY DEVELOPMENT BLOCK GRANT FUNDING

WHEREAS, the Community Development Block Grant Program, authorized pursuant to Title 1 of the Housing and Community Development Act of 1974, as amended (Act), requires that jurisdictions provide for the issuance of grants in order to attain the objective of providing decent housing and a suitable living environment and expanding economic opportunities, principally for persons of low- and moderate-income; and

WHEREAS, there has been presented to, and reviewed by this City Council, the 2007/08 Action Plan (Action Plan), which describes programs to be funded, in compliance with the goals and objectives of the Consolidated Plan, for the 2006/07 and 2007/08 program years; and

WHEREAS, there has been presented to, and reviewed by this City Council, Certifications to the U.S. Department of Housing and Urban Development (HUD), including those regarding affirmatively furthering fair housing, anti-displacement and relocation plan, drug free workplace, anti-lobbying, authority of jurisdiction, consistency with strategic plan, citizen participation, community development plan, following Consolidated Plan, use of funds, prohibition of excessive force, compliance with anti-discrimination laws, and lead-based paint; and

WHEREAS, there has been presented to, and reviewed by this City Council an application on Standard Form 424 (Application) to HUD for a Community Development Block Grant in the amount of \$475,823, for the period of July 1, 2007 through June 30, 2008; and

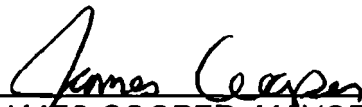
WHEREAS, the Action Plan, and a notice of the 30-day public comment period, have been published for citizen comment prior to forwarding the documents to City Council for approval; and

WHEREAS, the City Council held a public hearing on May 9, 2007 on the Action Plan to provide an opportunity for the public to comment on the information provided in the Consolidated Plan and Action Plan.

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Elk Grove hereby:

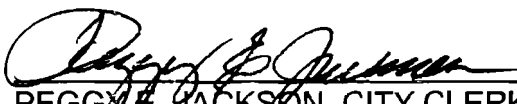
1. Approves the adoption of the City of Elk Grove 2007/08 Action Plan, certifying that that the City of Elk Grove has and will continue to conduct its activities in accordance with applicable statutes and regulations governing the CDBG Program, as identified in the Certifications in Appendix C of the Action Plan.
2. Authorizes the City Manager, or his designee, to execute and file the Application, Certifications, and all documents, including the Action Plan and SF 424, that are required to receive CDBG funding for and on behalf of the City of Elk Grove, and to make minor changes to these documents where necessary.
3. Approves that this Resolution shall be effective upon the date of its adoption.

PASSED AND ADOPTED by the City Council of the City of Elk Grove this 9th day of May, 2007.



JAMES COOPER, MAYOR of the
CITY OF ELK GROVE

ATTEST:

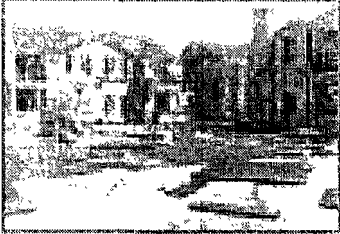
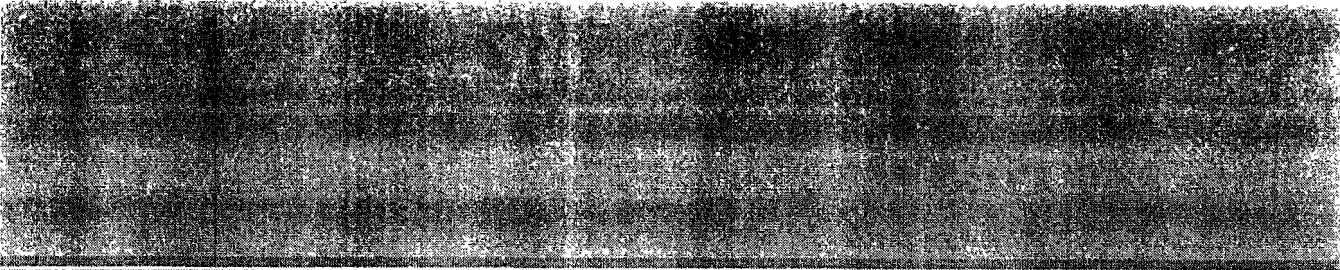


PEGGY E. JACKSON, CITY CLERK

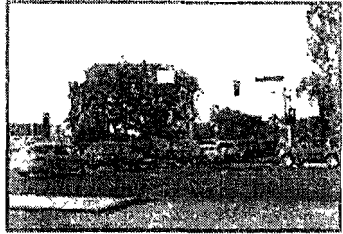
APPROVED AS TO FORM:



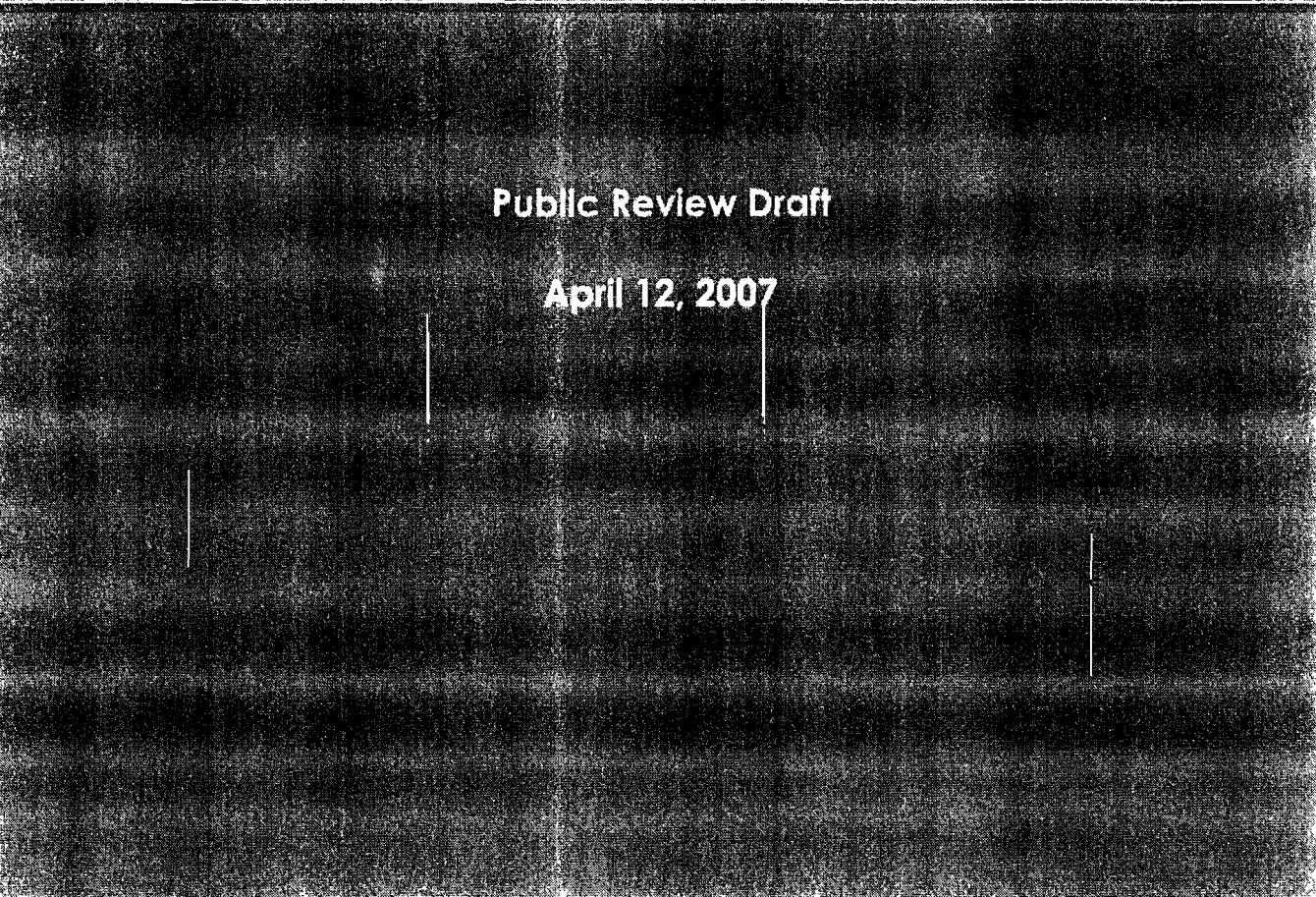
JONATHAN P. HOBBS,
INTERIM CITY ATTORNEY



City of Elk Grove



Action Plan



Public Review Draft

April 12, 2007

2007-08 ACTION PLAN

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2007-08 ACTION PLAN

EXECUTIVE SUMMARY

The 2007-08 Action Plan is a one-year plan to address the community development and low- and moderate-income housing needs in the City of Elk Grove. It is the first portion of the implementation of the five-year Consolidated Plan. Both the Consolidated Plan and the Action Plan are implemented by the City housing staff.

This plan also amends the 2006-07 Action Plan to include a reprogramming of funds to the Elk Grove Adult Community Training public facilities project.

The City anticipates receiving \$475,823 from the federal Community Development Block Grant (CDBG) program and will reprogram \$110,714 from the 2006-07 program year. The City also plans to meet its community development and housing needs using the City's Very Low Income Housing Trust Fund.

City staff encouraged citizen participation throughout the Action Plan process. This included consulting local organizations, holding public meetings, and encouraging public comment during the public review period. Using research and input from the public, City staff formulated the objectives and outcomes that are briefly described below.

OBJECTIVES

The City's key objectives for the 2007-08 funding period include the following:

- Provide housing programs that preserve the quality and affordability of ownership housing stock for target-income households;
- Arrest decline in deteriorated areas of the City by requiring rental property owners to fix code violations and improve their properties;
- Assist community-based organizations with additional financing that allows them to provide new or expanded services to target-income residents, especially seniors and youth; and
- Improve public facilities in target areas.

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OUTCOMES

The City's housing outcomes for this planning period are primarily directed at improving affordability and accessibility of housing for target-income residents. Three houses will be rehabilitated.

The City will fund six programs, which are expected to benefit approximately 433 households:

- Senior Nutrition Services (Meals on Wheels), which provides hot meals to homebound seniors;
- Sacramento Self-Help Housing, which provides services to homeless and near-homeless persons;
- The Center for Human Rights, Law, and Advocacy, which provides fair housing services;
- Support Works for Women which provides services to women in crisis;
- Mobility Training which assists the disabled and elderly in using local public transportation; and
- Senior Center Congregate Meals which provides nutritious meals to senior citizens in a congregate setting.

INTRODUCTION

The U.S. Department of Housing and Urban Development (HUD) requires all government entities receiving federal Community Development Block Grant (CDBG) funds to prepare an Annual Action Plan. The Action Plan outlines funding priorities and discusses how activities will meet the community needs identified in the Consolidated Plan. The activities described in this Action Plan are proposed to be undertaken during the period between July 1, 2007 and June 30, 2008.

The major objectives of activities undertaken during the 2007-2008 funding year will be to:

- Provide housing programs that preserve the quality and affordability of ownership housing stock for target-income households;

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- Improve public facilities in target areas, with a concentration on accessibility improvements; Assist community-based organizations with additional financing that allows them to provide new or expanded services to target-income residents; and
- Assist community-based organizations with additional financing that allows them to provide new or expanded services to target-income residents, especially those that are seniors, youth, and disabled; and

RESOURCES

Activity funding is obtained from two sources the FY 2007-087 allocation of \$475,823, and the reprogramming of funding from 2006-07. \$110,714 from projects in 2006-07 must be reprogrammed because the projects did not proceed adequately during the given time frame. The City's total funding for the remainder of 2006-07 and 2007-08 is \$586,537. The City does not anticipate receiving any program income during this timeframe.

While some residents in the City may live in Section 8 properties or use Housing Choice Vouchers (formerly Section 8), these programs are managed by the local public housing authority, Sacramento Housing and Redevelopment Agency.

ACTIVITIES TO BE UNDERTAKEN

The activities to be undertaken during fiscal year 2007-08 are summarized in Table 1; the activity to be expanded in 2006-07 using reprogrammed funds is also identified in this table. For each activity, the one-year accomplishment and the amount of CDBG funding allocated are identified. All activities identified are expected to be completed no later than June 30, 2008.

The City continued to utilize a rating tool in making project recommendations. Consideration was given to a variety of thresholds that projects must meet to comply with CDBG objectives, including meeting one of the National Objectives and addressing one of the community priorities set out in the Consolidated Plan. The rating system also took into account activity need and justification, cost reasonableness and effectiveness, activity management and implementation, experience with similar activities, past performance, leveraged funds, and completeness of the application. Project recommendations are for those projects determined most likely to be successful and maintain compliance with CDBG regulations.

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Table 1
Proposed CDBG Activities and Projects: Fiscal Year 2007-08

Goal	Activity Name	Activity Description	Output	Funding
HOUSING				
HS-1	Owner Occupied Rehabilitation	Provide home rehabilitation loans	3 homes rehabilitated	\$100,000 *
CD-3	Low Income ULFT voucher Program -	Retrofitting low income homes with water efficient toilets	25 toilets replaced	\$20,000
PUBLIC FACILITIES				
CD-1	Curb Ramp Installation for Pedestrian Accessibility	The construction of curb ramps to provide a pathway to cross roadways safely	26 curb cuts completed	\$150,000
CD-1	Bus Stop Improvements	Provide improvements to bus stops in the CDBG targeted zones.		\$100,000
CD-6	ADA Accessible Office and Meeting Space - Elk Grove Adult Community Training	Expanding office space to make it ADA accessible	1 office expansion	\$50,000*
PUBLIC SERVICES				
CD-3, CD-5	Meals on Wheels	Provide homebound seniors with hot meals	44 seniors provided meals.	\$19,000
HA-1, CD-3	Sacramento Self-Help Housing	Provide counseling and housing referral services to homeless and near-homeless individuals and households.	60 households provided counseling.	\$5,000
HS-1, CD-3	Center for Human Rights, Law, and Advocacy	Provide fair housing assistance and education	119 households provided education	\$10,000
CD-3, CD-5	Support Works for Women	Provide immediate assistance and ongoing support to women in crisis	160 Women provided assistance	\$21,600
CD-3, CD-5	Mobility Training	Provide public transportation training to disabled and elderly persons	50 people assisted	\$5,000
CD-3, CD-5	Congregate Meals	Provide nutritious meals to seniors in a congregate setting	105 seniors provided meals	\$10,773
PLANNING AND ADMINISTRATION				
PA-1	Planning and Administration	Provide general administration of the CDBG program, including all planning and reporting activities	1 year of program administration.	\$95,164

*Funded with reprogrammed 2006/07 monies

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HOUSING ACTIVITIES

Owner Occupied Rehabilitation Program

The Owner Occupied Rehabilitation Program provides low-interest loans to target income homeowners with housing rehabilitation needs. This program supports the maintenance of the housing stock in the City of Elk Grove.

Output: 3 homes rehabilitated

Objective: Decent Housing

Outcome Category: Affordability for the purpose of providing decent affordable housing

Goals Addressed: HS-1

Funding: \$ 100,000 (\$60,714 reprogrammed 2006-07 funds, \$39,286 07-08 funds)

Low Income ULFT Voucher Program

The Low Income ULFT Voucher Program will retrofit low income homes with water efficient toilets.

Output: 25 Toilets replaced

Objective: Suitable Living Environment

Outcome Category: Affordability for the purpose of providing decent affordable housing

Goals Addressed: CD-3

Funding: \$20,000

PUBLIC FACILITIES

Curb Ramp Installation for Pedestrian Accessibility

The construction of curb ramps to provide a pathway for pedestrians, wheelchairs, strollers and other users to cross roadways safely and back up to the sidewalk without obstructions.

Output: 26 Curb Ramps Installed

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Objective: Suitable Living Environment

Outcome Category: Accessibility for the purpose of creating suitable living environments

Goals Addressed: CD-1

Funding: \$150,000

Bus Stop Improvements

Provide bus benches, bus shelters, ADA compliant pads and lighted bus stop signals at e-tran local bus stops in the CDBG targeted zones.

Output: 5 Bus Stops Improved

Objective: Suitable Living Environment

Outcome Category: Accessibility for the purpose of creating suitable living environments

Goals Addressed: CD-1

Funding: \$100,000

ADA Accessible Office and Meeting Space- Elk Grove Adult Community Training

Expanding current office space to make it ADA accessible. (Funded with reprogrammed 2006-07 monies)

Output: 1 Office expansion

Objective: Suitable Living Environment

Outcome Category: Accessibility for the purpose of creating suitable living environments

Goals Addressed: CD-6

Funding: \$50,000

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PUBLIC SERVICES

Department of Human Services- Senior Nutrition Services (Meals on Wheels)

The Senior Nutrition Services program will provide five hot meals per week to homebound seniors. The program provides regular contact and monitoring for homebound seniors.

Output: 44 seniors provided with meals

Objective: Suitable Living Environment

Outcome Category: Accessibility for the purpose of creating suitable living environments

Goals Addressed: CD-3, CD-5

Funding: \$19,000

Sacramento Self-Help Housing

Sacramento Self-Help Housing provides counseling and housing referrals to homeless and near-homeless individuals and households.

Output: 60 households provided counseling

Objective: Suitable Living Environment

Outcome Category: Accessibility for the purpose of creating suitable living environments

Goals Addressed: HA-1, CD-3

Funding: \$5,000

Center for Human Rights, Law, and Advocacy -- Fair Housing Services

The Center for Human Rights, Law & Advocacy provides fair housing services such as housing discrimination and tenant and landlord laws, complaint investigation, outreach and education through public forums, distribution of fair housing brochures, and newspaper articles.

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Output: Assist 119 people in fair housing issues.

Objective: Suitable Living Environment

Goals Addressed: HS-1, CD-3.

Outcome Category: Accessibility for the purpose of creating suitable living environments

Funding: \$10,000 CDBG

Elk Grove Food Bank - Support Works for Women

Support Works for Women is a one-on-one service offering assistance, resources, and case management to women in crisis. Referrals often come from the Elk Grove Food Bank and include those who have limited resources, may be from culturally diverse backgrounds, and need assistance in accessing resources and services.

Output: Provide 110 households with referrals and/or case management

Objective: Suitable Living Environment

Goals Addressed: CD-3, CD-5

Outcome Category: Accessibility for the purpose of creating suitable living environments

Funding: \$21,600 CDBG

Transit Services - Mobility Training

Elderly and disabled individuals who are unable to drive may be unaccustomed and fearful of riding public transit. This can lead to isolation and an unhealthy, disconnected lifestyle. Individuals may need assistance getting to medical facilities, recreation and shopping. Mobility counselors will ride with them and show them how to negotiate e-tran and regional transit.

Output: Provide 50 individuals with mobility training

Objective: Suitable Living Environment

Goals Addressed: CD-3, CD-5

Outcome Category: Accessibility for the purpose of creating suitable living environments

Funding: \$5,000 CDBG

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Senior Center of Elk Grove Congregate Meal Program

Assist in funding the congregate meal program at the Elk Grove Senior Center. Hot meals are served to seniors on a daily basis, typically at lunch time. The seniors will be monitored for social service needs and the Senior Center will provide a safety net to its clients.

Output: Provide 105 seniors with meals

Objective: Suitable Living Environment

Goals Addressed: CD-5, CD-3

Outcome Category: Accessibility for the purpose of creating suitable living environments

Funding: \$10,773

PLANNING AND ADMINISTRATION ACTIVITIES

Planning and Administration

The planning and administration funding is intended to provide funding for general staff administration of CDBG programs and activities, including Integrated Disbursement and Information System (IDIS) training, program set-up, reporting, planning, and sub recipient training and monitoring.

2007-08 Output: Quality administration of the CDBG program, 1-2 staff members trained as necessary

Objective: Full compliance with all applicable CDBG and federal regulations and maintenance of a successful and innovative program.

Goals Addressed: PA-1

Funding: \$95,164

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NON-CDBG 2007-08 HOUSING ASSISTANCE ACTIVITIES

Affordable Housing Development Program (non-CDBG funds)

In addition to the two housing programs described above, the City will assist in the funding of affordable housing development using the City's Very Low Income Housing Trust Fund (VLIHTF) and the Affordable Housing Fund (AHF). Provide funding for the development of 222 units affordable to target-income households.

2007-08 Objective: 89 units for low-income households

133 units for moderate-income households

Goals Addressed: HS-1

Funding: \$9,000,000 VLIHTF/AHF

Outcome: Affordability for the purpose of providing decent affordable housing.

GEOGRAPHIC DISTRIBUTION

Geographic distribution is predicated, for the most part, on the nature of the activity to be funded. Figure 1 shows the proposed projects to be funded in program year 2007-08. Not all of the activities funded through the CDBG program are shown in Figure 1 since some are community-wide. While the City of Elk Grove has low income residents, there is not geographic concentration of low income households. Thus, we have not included a map exclusively showing low income concentrations.

MINORITY DISTRIBUTION

The City of Elk Grove strives to make all of its programs available to eligible target income residents regardless of sex, race, religious background, or disability. As a result, many programs, including housing rehabilitation, emergency repair, fair housing, employment, youth, and senior services, will be available to residents city-wide. Programs with a specific location, such as the Senior Center, are located in areas with varying levels of minority concentration. Table 1 details the proposed projects for the City of Elk Grove; of these, the majority are available on a citywide basis.

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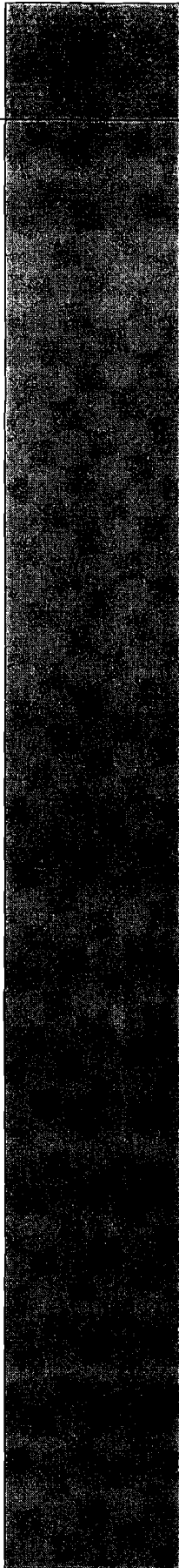
The percentage of minorities in Elk Grove varies from a minimum of 18.5 percent per census block group to 62.2 percent per census block group. Figure 2 represents areas where the concentration of minorities is higher than that of the County, above 44.8 percent. These areas will benefit from programs administered at City Hall, such as the Owner-Occupied Rehabilitation Program and the Emergency Repair Program, both of which are available to residents throughout the community. The majority of projects (services and facility improvements) will occur at existing facilities in the City, which serve all areas and residents of the City. No requests for funding were received from service providers that would principally benefit areas with a minority concentration.

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(Location of Proposed Projects)

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(Location of Proposed Projects and Areas of Minority Concentration)



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HOMELESS AND OTHER SPECIAL NEEDS POPULATIONS

The City's strategy related to the needs of the homeless, those at risk of homelessness, and other special needs populations involves the funding of supportive services in Elk Grove or regional services, such as fair housing counseling or homeless services. Currently, while services are available in Elk Grove on a limited basis, the City plans to encourage a system of supportive services to be located in the City. This will allow for easy accessibility for our residents.

Toward this end, the City is funding several programs and services to assist its homeless and special needs populations. These include:

- Homeless and family assistance;
- Services for persons with disabilities;
- Accessibility improvements;
- Senior services; and
- Fair housing services.

In addition, the City's Housing Element includes several programs that provide for the development of affordable housing and removal of constraints to the placement of emergency shelters. The Element also removes potential constraints to housing for persons with disabilities.

ADDRESS OBSTACLES TO MEETING UNDERSERVED NEEDS

While there are several constraints to meeting the needs of target income residents (refer to the Consolidated Plan, p. 3-14), the primary obstacle to meeting the needs of target income residents is the lack of available services within the City's boundaries. Many services are located in the City of Sacramento.

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FOSTER AND MAINTAIN AFFORDABLE HOUSING

The City's 2002-2007 Housing Element includes a number of important programs to facilitate the development of affordable housing in Elk Grove. Some of these programs include.

- Support affordable housing development through direct financial assistance, regulatory incentives (e.g., density bonuses), and land write-downs. (H-4 Action 2)
- Assign Very Low Income Housing Trust Funds, Affordable Housing Fees, and pursue CDBG/HOME funds and other affordable housing subsidies for housing projects affordable to very low and low income households. (H-6 Action 1)
- Adopt a program of regulatory and financial incentives for affordable housing (H-6 Action 5)
- Require that all affordable housing development subsidized by the City or required by the City contain provisions that assure long-term affordability mechanisms (e.g., 45 years or more). (H-8 Action 1)

Additional measures are identified and explained in detail in the City's Housing Element.

In addition, the City has two major funding sources to promote affordable housing development: the Very Low Income Housing Trust Fund (VLIHTF) and the Affordable Housing Fund (AHF). The VLIHTF is funded by impact fees collected on non-residential development in the City and funds housing appropriate to the City's workforce and affordable to households earning 0 to 50 percent AMI.

The AHF is collected from market rate residential development and used to provide new housing affordable to households with incomes less than 80 percent AMI. The City anticipates assisting with the financing of approximately 200 units of affordable rental housing during the program year.

The Owner-Occupied Rehabilitation Program and Emergency Repair Program will assist the City in maintaining its stock of affordable ownership housing. Together, these programs are

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expected to rehabilitate approximately 12 units. The SHRA HOME Consortium is expected to complete two additional rehabilitations.

The City does not anticipate providing rental assistance, as this is provided by SHRA, and also does not expect to acquire any existing units.

REMOVE BARRIERS TO AFFORDABLE HOUSING

The City is undertaking a number of actions to reduce potential barriers and constraints to affordable housing as well as housing for special needs populations. These include funds in support of affordable housing development, fee reductions and waivers, regulatory incentives, density incentives, rezoning of sites to higher densities, and several others. These are described in more detail in the City's 2002-2007 Housing Element.

The City has made available through fee reduction 13 for-sale units to low- and moderate-income buyers and it is anticipated that in FY 2006-07 an additional 12 for-sale units will be released. Working cooperatively with Sacramento NeighborWorks, the City is offering homebuyer workshops to low-and moderate-income potential buyers to facilitate education and prepare buyers for homeownership.

DEVELOP INSTITUTIONAL STRUCTURE

The Department of Development Services is responsible for the management, implementation, and monitoring of the Consolidated Plan documents, including the Action Plan. The Planning Division within the department is specifically charged with these tasks. The division works in close consultation with the City's advisory committees and with the department's director.

The City has designated staff positions to administer the programs and activities funded with CDBG funds. These staff members work with the individual city divisions, such as public works, economic development, and community enhancement and the City's advisory committees to develop procedures and coordination for administering programs that will be carried out by these divisions.

ENHANCE COORDINATION

The City will continue its work with neighboring jurisdictions such as the County and the City of Sacramento, including Sacramento Housing and Redevelopment Agency, to address the regional issues that affect the needs of target-income persons as well as special needs populations.

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Effective January 2006, the City of Elk Grove is a participant in the SHRA sponsored 2006-2008 HOME Consortium. This cooperative effort will make available additional resources available to City residents. Specifically, SHRA housing rehabilitation program will be made available to Elk Grove residents and the City will coordinate interested applicants and SHRA.

PUBLIC HOUSING NEEDS

There are ten apartment complexes that include some of the 747 affordable housing units in Elk Grove. Some of these units are administered by Sacramento Housing and Redevelopment Agency (SHRA), which serves as the public housing authority for Sacramento County. The City of Elk Grove does not have its own local housing authority. Resident initiatives are handled directly by SHRA.

ANTI-POVERTY STRATEGY

The City's anti-poverty strategy is based on providing a range of employment opportunities and supportive services aimed at enabling those in poverty to move into the workforce. During the 2007-08 program year the City will also continue to support activities that preserve and expand the supply of housing that is affordable to target income households.

The strategy focuses on encouraging economic development and providing supportive services such as services referral assistance, job training, and loans to small business that will result in job creation.

LEAD-BASED PAINT HAZARDS

While most housing units were built after 1978 in Elk Grove, the City will work together with the County Health and Human Services Department to monitor incidences of elevated blood levels.

The City will also provide lead-abatement assistance for residential units through its residential rehabilitation and emergency repair programs. The programs will comply with the Residential Lead Based Paint Hazard Reduction Act of 1992 (Title X) and subsequent changes in September 1999. The procedures regarding lead-based paint in both rehabilitation programs will include:

- Notification
- Identification
- Treatment (if necessary)

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MONITORING PLAN

The City of Elk Grove has developed a monitoring system to ensure that the activities carried out in furtherance of the Plan are done so in a timely manner in accordance with the federal monitoring requirements of 24 CFR 570.501(V) and 24 CFR 85.40 and all other applicable laws, regulations, policies, and sound management and accounting practices. The objectives of the monitoring plan are described in more detail in the Consolidated Plan (p. 3-16).

APPENDIX A - RESOLUTION

**APPENDIX B - PUBIC HEARING
AND PUBLIC COMMENTS**

APPENDIX C - CERTIFICATIONS



Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- | |
|---|
| <input type="checkbox"/> This certification does not apply. |
| <input checked="" type="checkbox"/> This certification is applicable. |

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted --
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- | |
|--|
| <input type="checkbox"/> This certification does not apply.
<input checked="" type="checkbox"/> This certification is applicable. |
|--|

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2007, 2____, 2____, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.

Signature/Authorized Official

Date

John Danielson

Name

City Manager

Title

8380 Laguna Palms Way

Address

Elk Grove, CA 95758

City/State/Zip

916-478-2201

Telephone Number

<input checked="" type="checkbox"/> This certification does not apply. <input type="checkbox"/> This certification is applicable.
--

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

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Signature/Authorized Official	Date
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Name	
<input type="text"/>	
Title	
<input type="text"/>	
Address	
<input type="text"/>	
City/State/Zip	
<input type="text"/>	
Telephone Number	

- This certification does not apply.**
 This certification is applicable.

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

<input checked="" type="checkbox"/> This certification does not apply. <input type="checkbox"/> This certification is applicable.
--

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

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Signature/Authorized Official	Date
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Name	
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City/State/Zip	
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Telephone Number	

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| <input checked="" type="checkbox"/> This certification does not apply.
<input type="checkbox"/> This certification is applicable. |
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ESG Certifications

I, _____, Chief Executive Officer of **Error! Not a valid link.**, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
2. The building standards requirement of 24 *CFR* 576.55.
3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review

responsibilities under the National Environmental Policy Act of 1969 and related authorities as specified in 24 *CFR* Part 58.

11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
12. The new requirement of the McKinney-Vento Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official	Date
Name	
Title	
Address	
City/State/Zip	
Telephone Number	

<input type="checkbox"/> This certification does not apply. <input checked="" type="checkbox"/> This certification is applicable.
--

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
Elk Grove City Hall	8401 Laguna Palms Way	Elk Grove	Sacramento	CA	95758

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal

criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

All "direct charge" employees;
all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and

- a. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan

Signature/Authorized Official

Date

John Danielson

Name

City Manager

Title

8380 Laguna Palms Way

Address

Elk Grove, CA 95758

City/State/Zip

916-478-2201

Telephone Number

APPENDIX D - SF 424

APPLICATION FOR FEDERAL ASSISTANCE

2. Date Submitted (mm/dd/yyyy) **05/17/07** Applicant Identifier

1. Type of Submission
Application *Preapplication*
 Construction Construction
 Non-Construction Non-Construction

3. Date Received by State (mm/dd/yyyy) **NA** State Applicant Identifier
 4. Date Received by Federal Agency (mm/dd/yyyy) Federal Identifier

5. APPLICANT INFORMATION

Legal Name **City of Elk Grove** Organizational Unit **Development Services**
 Address (give city, county, state, and zip code) **8380 Laguna Palms Way, Elk Grove, CA 95758**
 Name and telephone number of the person to be contacted on matters involving this application (give area code) **John Danielson, 916-478-2201**

6. EMPLOYER IDENTIFICATION NUMBER (EIN):

9 4 - 3 3 6 6 8 5 4

7. TYPE OF APPLICANT:

(enter appropriate letter in box) **C**
 A State I State Controlled Institution of Higher Learning
 B County J Private University
 C Municipal K Indian Tribe
 D Township L Individual
 E Interstate M Profit Organization
 F Intermunicipal N Nonprofit
 G Special District O Public Housing Agency
 H Independent School Dist P Other (Specify)

8. TYPE OF APPLICATION:

New Continuation Revision
 If Revision, enter appropriate letter(s) in box(es)
 A Increase Award B Decrease Award C Increase Duration
 D Decrease Duration Other (specify)

9. NAME OF FEDERAL AGENCY:

U S. Department of Housing and Urban Development

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: (xx-yyy)

1 4 . 2 1 8

TITLE **Community Development Block Grants/ Entitlement Grants**

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:

Housing Rehabilitation and Repair
 Infrastructure Improvements
 Economic Development
 Public Services (senior, youth, child care, homeless, fair housing)
 Grant Administration
 Planning studies including priority needs and housing condition surveys

12. AREAS AFFECTED BY PROJECT (cities, counties, states, etc.):

City of Elk Grove

13. PROPOSED PROJECT:

Start Date (mm/dd/yyyy) **07/01/07**
 Ending Date (mm/dd/yyyy) **06/30/08**

14. CONGRESSIONAL DISTRICTS OF:

a. Applicant **Federal Congressional Districts 3 and 5**
Federal Senate Districts 1 and 6
California Assembly Districts 10 and 15
 b Project **Same as 14 a**

15. ESTIMATED FUNDING:

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

a YES THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON

DATE (mm/dd/yyyy)

Complete form HUD-424-M, Funding Matrix

b NO PROGRAM IS NOT COVERED BY E.O. 12372

OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

Yes If "Yes," attach an explanation No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Typed Name of Authorized Representative **John Danielson** b. Title **City Manager** c. Telephone number (Include Area Code) **(916)478-2201**
 d. Signature of Authorized Representative e. Date Signed (mm/dd/yyyy)

**CERTIFICATION
ELK GROVE CITY COUNCIL RESOLUTION NO. 2007-102**

STATE OF CALIFORNIA)
COUNTY OF SACRAMENTO) **ss**
CITY OF ELK GROVE)

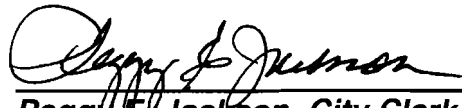
I, Peggy E. Jackson, City Clerk of the City of Elk Grove, California, do hereby certify that the foregoing resolution was duly introduced, approved, and adopted by the City Council of the City of Elk Grove at a regular meeting of said Council held on May 9, 2007 by the following vote:

AYES : COUNCILMEMBERS: Cooper, Scherman, Davis, Leary, Hume

NOES: COUNCILMEMBERS: None

ABSTAIN : COUNCILMEMBERS: None

ABSENT: COUNCILMEMBERS: None



**Peggy E. Jackson, City Clerk
City of Elk Grove, California**

